Bilateral impairments in task-dependent modulation of the long-latency stretch reflex following stroke

Randy D. Trumbower a,s,⇑, James M. Finley b,1, Jonathan B. Shemmell c, Claire F. Honeycutt f, Eric J. Perreault d,e,f

a Dept. of Rehabilitation Medicine, Emory University, Atlanta, GA, USA
b Dept. of Neuroscience, Johns Hopkins University, Baltimore, MD, USA
c School of Physical Education, University of Otago, Otago, New Zealand
d Dept. of Biomedical Engineering, Northwestern University, Evanston, IL, USA
e Dept. of Physical Medicine and Rehabilitation, Northwestern University, Chicago, IL, USA
f Sensory Motor Performance Program, Rehabilitation Institute of Chicago, Chicago, IL, USA

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HIGHLIGHTS

- Stroke survivors are unable to adapt their long-latency stretch reflex amplitude during tasks that require increased stability.
- Impaired regulation of the long-latency reflex is evident in both the paretic and non-paretic limbs.
- The inability to regulate long-latency stretch reflexes to account for the mechanical properties of the environment may contribute to bilateral deficits in tasks that require proprioceptive feedback and rapid changes in muscle activity to maintain stability.

A B S T R A C T

Objective: Modulation of the long-latency reflex (LLR) is important for sensorimotor control during interaction with different mechanical loads. Transcortical pathways usually contribute to LLR modulation, but the integrity of pathways projecting to the paretic and non-paretic arms of stroke survivors is compromised. We hypothesize that disruption of transcortical reflex pathways reduces the capacity for stroke survivors to appropriately regulate the LLR bilaterally.

Methods: Elbow perturbations were applied to the paretic and non-paretic arms of persons with stroke, and the dominant arm of age-matched controls as subjects interacted with Stiff or Compliant environments rendered by a linear actuator. Reflexes were quantified using surface electromyograms, recorded from biceps.

Results: LLR amplitude was significantly larger during interaction with the Compliant load compared to the Stiff load in controls. However, there was no significant change in LLR amplitude for the paretic or non-paretic arm of stroke survivors.

Conclusion: Modulation of the LLR is altered in the paretic and non-paretic arms after stroke.

Significance: Our results are indicative of bilateral sensorimotor impairments following stroke. The inability to regulate the LLR may contribute to bilateral deficits in tasks that require precise control of limb mechanics and stability.

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1. Introduction

Cortical stroke disrupts descending motor commands and often interferes with one's ability to stabilize arm postures for various functional tasks such as holding a cup of coffee, driving a car, or walking with a cane. While a healthy motor system is capable of controlling limb muscles in a manner that accurately accounts for the mechanical properties of objects in our environment, this ability is often impaired following stroke. It is well established that the motor system employs flexible control strategies through the development of voluntary motor commands; however, it has recently been suggested that rapid involuntary mechanisms can also compensate for environmental instabilities through regulation of...
stretch reflex sensitivity (Akazawa et al., 1983; Dietz et al., 1994; Doemges and Rack, 1992; Perreault et al., 2008). In particular, long-latency stretch reflexes (LLRs) are modulated to compensate for instabilities in specific directions during the performance of a given task (Krutky et al., 2010). Compensation is achieved by increasing the sensitivity of LLRs in muscles acting to oppose movement in the direction of instability. A number of studies report increases in the amplitude of LLRs during interactions with compliant loads relative to the amplitude of LLRs during interactions with non-compliant, or stiff loads (Dietz et al., 1994; Doemges and Rack, 1992; Perreault et al., 2008). These results suggest that heightened excitability of LLRs may be important for the maintenance of limb stability when the environment does not provide that stability. Understanding how long-latency responses are regulated following stroke may provide additional insight into the neural pathways responsible for impaired motor function during tasks that require increased stability, and to the deficits that can be expected when those pathways are compromised.

Due to the latency and adaptability of the LLR in muscles of the human arm (e.g., biceps, 50–60 ms), it was suggested that these responses are mediated by a transcortical loop (Hammond, 1956). This proposal has since been corroborated by studies in both humans and primates (Cheney and Fetz, 1984; Evarts, 1973; Kimura et al., 2006; Matthews, 1951; Palmer and Ashby, 1992; Pruszynski et al., 2011). Given the involvement of supraspinal pathways, one might expect that cortical lesions could reduce or even eliminate the LLR. Indeed, this has been observed following stroke (Dietz et al., 1991; Hendrie and Lee, 1978; Marsden et al., 1977). Persons with stroke often exhibit delayed voluntary reaction times (Dickstein et al., 1993) and reflex activity some months after stroke (Marsden et al., 1977), suggesting that the circuits responsible for generating the reflex may have been altered and lengthened during the recovery process, resulting in increased processing or transmission delays. Therefore, it also is possible that the LLR in the paretic arm might be delayed if volitional movement and LLRs are mediated through similar neural substrates. This would imply that recovery following stroke involves rerouting of motor and sensory pathways responsible for both volitional movement and postural stability. Our study aimed, in part, to determine if stroke survivors retain the capability for task-specific modulation during this later period, which would help elucidate the efficacy of this rerouting.

Hemispheric stroke often results in bilateral motor deficits in the upper extremity (Desrosiers et al., 1996; Jehsen et al., 1971; Sunderland, 2000). These deficits might be due in part to altered regulation of reflex pathways. There is evidence, for example, that the short latency stretch reflex (SLR) in elbow flexors of the ipsilesional arm is depressed in stroke survivors (Thilmann et al., 1990) and attenuation of the LLR is occasionally observed bilaterally (Marsden et al., 1977). Yet, whether or not stroke survivors retain the ability to modulate LLRs in the ipsilesional arm to account for different physical environments has yet to be determined. If modulation is impaired bilaterally, it could be argued that communication between bilateral brain structures is necessary for the proper regulation of the LLR and efficacy of rerouting after hemispheric stroke. Given the role of the LLR in compensating for environmental instability, impairments of stretch reflex regulation in the ipsilesional upper limb are also likely to have implications for stroke survivors’ ability to effectively interact with directionally unstable objects (e.g., single-point canes) during their daily activities.

The purpose of this study was to examine if stroke survivors retain the ability to modulate LLR amplitude in accordance with the level of mechanical stability provided during a postural task. Based on previous demonstrations of stretch reflex modulation in response to changes in the amount of stability provided by the external environment, we hypothesized that elbow perturbations applied in a stiff (stable) environment would elicit smaller long latency stretch reflexes in neurologically healthy individuals than the same perturbations applied in a compliant (less stable) environment. We also hypothesized that identical perturbations of the paretic arm of stroke survivors would elicit long latency reflexes in stiff and compliant environments not different in amplitude. While the flexibility of reflex control in the non-paretic arm is difficult to predict, motor pathways within and descending from the non-lesioned cerebral hemisphere remain intact following stroke, enabling cortical contributions to reflex control in the non-paretic arm to be preserved. We therefore hypothesized that perturbations of the non-paretic arm of stroke survivors would produce long latency reflexes of smaller amplitude in a stiff relative to a compliant environment. The results of this study have implications for understanding how the stabilizing role of feedback mechanisms is altered following stroke.

2. Methods

2.1. Study Population

Experiments were performed on 8 adults with chronic stroke and 8 healthy, age-matched control subjects (Table 1). Ethical approval for the study was received from the Northwestern University Institutional Review Board (IRB protocol STU00009204); informed consent and HIPAA authorization were obtained from subjects prior to their participation. Control subjects had no history of upper limb or neurological impairments. Stroke subjects underwent an evaluation by a licensed physical therapist to determine their eligibility. Stroke survivors were included if they had sustained a unilateral stroke as defined from chart review, had full passive range of motion of tested shoulder and elbow without pain or shoulder subluxation, some voluntary movement of elbow and shoulder, cortical injury resulting in motor deficits of the upper extremity, no receptive aphasia, and the ability to follow verbal and visual commands. All subjects with stroke had at least mild spasticity in elbow muscles as defined by a cumulative Ashworth score of greater than or equal to 1. Subjects were excluded if they had history of unilateral neglect (spatial and motor), inability to provide informed consent, and/or significant medical complications. We recorded Fugl-Meyer scores (Fugl-Meyer et al., 1975) as a reliable clinical measure of arm motor impairment (Duncan et al., 1983).

2.2. Equipment

Subjects were seated comfortably with their trunk secured to an adjustable chair (Biodex, Shirley, NY) using padded chest and lap straps. The target arm (paretic or non-paretic arm of stroke subjects; dominant arm of control subjects) was positioned in the horizontal plane with the shoulder at 70° of abduction and 0° of flexion, the elbow joint at 90°, and the forearm slightly pronated (Fig. 1A and B). The upper arm was placed in a height-adjustable trough support to ensure a constant position of the shoulder joint. A fitted orthotic splint extending from the fingers to the middle of the forearm was used to maintain the wrist joint in a neutral position and to attach the forearm to a linear actuator (Copley Thrust-Tube TB3806; Copley Controls, Canton, MA). A 10 cm steel plate located on the underside of the cast, centered at the wrist joint, was secured to the top surface of the actuator via a precision bearing that allowed rotation in the horizontal plane.

The linear actuator was used to apply elbow extension perturbations in two different mechanical environments (Compliant and Stiff). The actuator was mounted on an adjustable aluminum frame and was oriented such that perturbations were applied in
the horizontal plane in a direction orthogonal to the forearm. This resulted in flexion/extension motions at the elbow joint. The actuator was instrumented with a linear encoder (RGH24; Renishaw, Gloucestershire, UK) to provide position information (resolution 1 μm) and was configured during the appropriate portions of the study as either a stiff position servo (250 kN/m) or a compliant load (10 N/m) using an admittance control algorithm implemented in Matlab xPC (The Mathworks Co., Natick, MA, USA). Perturbations were identically matched in each mechanical environment by transiently switching the actuator to a position servo at the start of each perturbation, as we have used in previous studies (Perreault et al., 2008; Shemmell et al., 2009). The manipulandum was controlled by custom software developed using Matlab xPC (The Mathworks Co., Natick, MA). Physical stops limited actuator motion to ±60 mm from the central position, and software limits were implemented to cut power to the motor 10 mm before these limits were reached.

We recorded surface electromyograms (EMGs) from the biceps brachii (biceps) and lateral head of triceps brachii (triceps) muscles. Standard skin preparation techniques were applied prior to the application of bipolar surface electrodes (model #272; Noraxon USA, Inc., Scottsdale, AZ). The resulting signals were amplified using a Bortec® AMT-16 system (Bortec Biomedical, Calgary, AB), which has an input impedance of 10 GΩ, a Common Mode Rejection Ratio of 115 dB at 60 Hz, and a bandwidth between 10 and 1000 Hz. Analog signals were anti-alias filtered using custom-built, differential input, 5th order Bessel filters with a cutoff frequency of 500 Hz and then sampled at 2000 Hz with an 18-bit data acquisi-
2.3. Protocols

We hypothesized that stroke survivors have a reduced capacity to modulate the LLR during interaction with different mechanical environments. To test this hypothesis, we quantified the effects of changes in the mechanical properties of the environment on the amplitude of the LLR in the biceps muscle. Stroke subjects and age-matched control subjects interacted with either a Stiff or Compliant environment. Stroke subjects were tested using their paretic and non-paretic arms and age-matched control subjects were tested using their dominant arm. The order of arm testing in the Stroke subjects was randomized. In the Stiff environment, subjects were instructed to generate an endpoint force of 5 ± 1 Newtons (N). The mean maximum voluntary force produced by participants in the control group was 179.1 ± 23 N. This compares to 74.6 ± 8.8 N generated by the paretic arm of stroke survivors and 170.9 ± 14.9 N by the non-paretic arm. During the 5 N task, the impaired arm of stroke subjects was therefore required to produce a greater percentage of maximum force (7.6 ± 1.1%) as compared to the control (3.1 ± 0.4%) and unimpaired (3.1 ± 0.2%) arms. Subjects were provided with a visual display of the target force range (4–6 N) during the Stiff condition. The instantaneous force being generated was displayed as a vertical bar on the screen while the target force level was represented as a horizontal bar that changed color when the target was achieved. During the Compliant condition, subjects were provided with a visual display of the endpoint position along with the target position range (2 cm) while overcoming an extension force bias of 4–6 N. The instantaneous position was displayed as a disk on the screen while the target position was represented as a circle; the disk changed color when the target was achieved.

Reflexes were elicited using a set of randomly timed ramp-and-hold perturbations applied to the arm. A common set of instructions was provided for all subjects; they were instructed to maintain a constant effort and not to react to the perturbation, similar to previous studies (Burgess et al., 1995; Crago et al., 1996) using the anti-aliasing filters was taken into account when computing reflex latencies.

2.4. Data processing and analysis

All EMG recordings were rectified before further processing. We matched background (BGD) activity of the biceps between Stiff and Compliant conditions; a trial was excluded if the BGD activity for that trial exceeded 3 standard deviations (SD) above the mean BGD of the recorded trials, which resulted in an average of 15–20 (out of 20) trials per condition for each subject. The mean of the retained trials in each condition was calculated to determine the average EMG response. For each perturbation, a 30 ms window of EMG activity was averaged immediately prior to perturbation onset to quantify the average background muscle activation (BGD). The onset of the short-latency response (SLR) was determined as the first point at which the average EMG response exceeded 3 standard deviations (SD) of the background muscle activation for each subject based on data in each experiment (Krutky et al., 2010). The SLR was defined as a 30 ms window average of EMG activity following onset detection. The LLR were defined using 2 windows. The first window (LLR1) was defined as a 30 ms window average of EMG activity that immediately followed the SLR. Because a period of reduced activity was commonly observed following the short latency response in stroke survivors, a second 30 ms window of EMG activity (LLR2) also was averaged immediately after the LLR1. The unscaled SLR, LLR1, and LLR2 amplitudes were expressed as average voltage values above BGD activity.

We tested the null hypothesis that EMG amplitude was equivalent in the stiff and compliant environments. This hypothesis was tested separately for the BGD, SLR, LLR1, and LLR2 time periods using a linear mixed effects model with environment (ENV: stiff or compliant) and arm (ARM: paretic, non-paretic, or control) as fixed factors, and subject (SUBJ) as a random factor; the factor ARM was nested in SUBJ to account for the fact that the paretic and non-paretic arms were tested from each stroke subject. The model considered separate variances across each level of the ARM factor. Planned contrasts were used to make post hoc assessments for the influence of the environment on the EMG measured in each arm; all post hoc tests were corrected for multiple comparisons. These statistical computations were performed in R (Ihaka and Gentleman, 1996) using the nlme and multcomp packages. All statistical comparisons were considered significant at the p < 0.05 level. Data are presented as averages ± 1 standard error.
non-paretic, and control arms are shown in Figs. 2A, 2B, and 3A, respectively. For the amplitude of the SLR, neither the effect of the environment ($F_{1,21} = 3.81; p = 0.06$), nor the interaction between environment and the tested arm ($F_{2,21} = 0.36; p = 0.70$) was significant. Consistent with earlier findings (Pisano et al., 2000; Schmit et al., 2000; Simons and Bingel, 1971; Thilmann et al., 1990; Trumbower et al., 2010; Wolf et al., 1996), there was a tendency for the biceps SLR in both environments to be larger in the paretic limb, but the factor of arm did not reach significance in our data ($F_{2,6} = 4.59; p = 0.06$).

3.3. Background EMG activity of biceps and triceps did not influence reflex modulation

Reflex modulation was not influenced by differences in the voluntary activity of biceps or triceps muscles in the paretic, non-paretic and control arms. The BGD activity of the biceps was not significantly different across environments ($F_{1,21} = 0.57; p = 0.46$), and there was no significant interaction between environment and the tested arm ($F_{2,21} = 0.04; p = 0.96$); the same was true for the triceps muscle ($F_{1,21} = 0.57; p = 0.46$; $F_{2,21} = 0.04; p = 0.96$); the same was true for the triceps muscle ($F_{1,21} = 0.57; p = 0.46$; $F_{2,21} = 0.04; p = 0.96$). There was a non-significant tendency for the background to change with arm ($F_{2,6} = 4.59; p = 0.06$). Hence, to rule out the possibility that changes in background across arms contributed to the observed changes in reflex modulation, we repeated our analyses using reflex EMGs normalized by the level of background EMG prior to perturbation onset. This additional analysis led to the same conclusions reported above.

4. Discussion

This study examined whether stroke survivors retain the ability to modulate the LLR in the biceps in accordance with the level of
limb stability provided by the environment. We hypothesized that stroke survivors would have a reduced ability to facilitate long-latency reflexes in their paretic limb during interaction with compliant loads relative to able-bodied individuals. We also hypothesized that task-specific modulation of long-latency reflexes would be retained in the non-paretic limb of stroke survivors. When interacting with a compliant (less stable) mechanical environment, healthy subjects increased the amplitude of the LLR whereas this facilitation was absent in the paretic arm of stroke survivors, supporting our first hypothesis. Contrary to our hypothesis however, environment-dependent modulation of the LLR was also absent in the non-paretic arm. These results demonstrate a reduction in the capacity for environment-dependent modulation of the LLR in both arms of stroke survivors. This suggests that task-dependent regulation of the long-latency stretch reflex not only requires the contralateral motor cortex (Pruszynski et al., 2011; Shemmell et al., 2009), but may also require intact interhemispheric balance, which is compromised after hemispheric stroke (Murase et al., 2004; Shimizu et al., 2002) leading to possible disruption of transcortical reflex circuits in the non-injured hemisphere. These bilateral deficits in reflex function have implications for rehabilitation strategies designed to improve bilateral limb stability.

4.1. Unilateral stroke disrupts reflex modulation bilaterally

The absence of modulation of the LLR in the paretic and non-paretic limbs of stroke survivors provides support for the proposal that supraspinal structures are involved in the transmission of this response. Early observations of perturbation-induced muscle activity occurring at twice the latency of the monosynaptic reflex led many to suggest that these responses traversed a transcortical loop (Hammond, 1960; Lee and Tatton, 1975; Phillips, 1969). This proposal was corroborated by recordings from motor cortical cells in non-human primates that demonstrated rapid excitatory responses to unexpected perturbations (Cheney and Fetz, 1984; Evarts and Tanji, 1976). More recent evidence has also demonstrated that this perturbation-induced cortical activity is tuned to account for intersegmental dynamics (Pruszynski et al., 2011). In addition, transcranial magnetic stimulation (TMS) has been used to demonstrate that the motor cortex underlies the environment-dependent modulation of the LLR during postural tasks that require increased limb stability (Shemmell et al., 2009). Consistent with this evidence, our results indicate that lesion-related damage to the transcortical reflex pathway renders stroke survivors unable to regulate the LLR in the paretic arm according to the mechanical characteristics of the environment. This deficit is not necessarily exclusive to damage to motor cortex, but could also result from damage to any of the supraspinal networks involved in transmission of the LLR including ascending sensory fibers, primary sensory cortex, or descending motor projections.

Our data suggest that proper regulation of the LLR does not occur in stroke survivors with moderate to more severe motor impairments. No differences in amplitude between Stiff and Compliant environments were observed for either LLR1 or LLR2 responses for the paretic and nonparetic arm of our stroke subjects. These individuals are considered at least moderate in severity with average Fugl-Meyer scores of 33 ± 4 (Fugl-Meyer et al., 1975) and average Ashworth scores of 2 ± 1. A previous study found intact LLRs in a group of mostly mildly impaired stroke survivors with more than half having Brunnström scores >5 and Ashworth scores of zero (Groenewegen et al., 2012). Dietz et al. (1991) also provided evidence that LLR amplitude was larger when subjects with cerebral damage had to maintain hand position while resisting a sinusoidal torque when compared to responses elicited when subjects maintained a target torque while performing a position tracking task. Although our subjects did not perform a tracking task, one could argue that their torque-targeting task is comparable to our Stiff environment while the former was comparable to our Compliant environment. Taken together, these observations point to post-stroke impairments of reflex gain control that might be associated with the amount of disruption to cortical motor circuits within the lesioned hemisphere. While the motor cortex has been implicated in transmission of the LLR (Shemmell et al., 2009), the precise locus of gain regulation of LLR has yet to be determined.

4.2. Role of interhemispheric cortical structures in transmission of the long-latency stretch reflex

In addition to impairment of reflex function in the paretic arm of stroke survivors, our results demonstrate that LLR modulation is impaired in the non-paretic upper limb. The lack of LLR modulation in either arm following monohemispheric stroke eliminates an important involuntary mechanism for responding to unexpected disturbances of posture (Krutky et al., 2010). In addition to abnormalities of involuntary reflex control, it has been reported that unilateral control of both the paretic and non-paretic arms of stroke survivors is subject to deficits of strength (Colebatch and Gandevia, 1989; Noskin et al., 2008) and coordination (Jones et al., 1989; Laufer et al., 2001; Wittenberg et al., 2000; Yarosh et al., 2004). Taken together, these findings suggest that proper motor function within a single limb is reliant on normal processing within both brain hemispheres.

The neural mechanisms responsible for these bilateral deficits are unknown, but may result from direct and indirect effects of lesion-related cortical damage on descending motor pathways. Some pyramidal tract neurons have ipsilateral projections (Davidoff, 1990), the total number of these projections being estimated to range from 10% to 15% of the total corticospinal tract (Nyberg-Hansen and Brodal, 1963). Damage caused directly to ipsilateral projections descending from the lesioned cortex to the non-paretic limbs may disrupt the transmission of reflex and voluntary motor commands. However, the extent to which ipsilateral corticospinal projections are involved in reflex transmission is unclear. Indirect, interhemispheric effects of lesion-related damage are perhaps more likely to influence transcortical reflex function in the non-paretic arm since it is the non-lesioned cortex that contributes the vast majority of corticospinal fibers descending to the non-paretic arm. Stroke lesions also often disrupt communication between motor cortices in each hemisphere (Carson, 2005; Jenny, 1979), and this disruption may contribute to abnormal reflex sensitivity. Specifically, interhemispheric inhibition from the lesioned motor cortex to the non-lesioned motor cortex is often reduced following stroke (Borojorjideri et al., 1996; Shimizu et al., 2002), and it is possible that this disinhibition alters the function of the non-lesioned motor cortex in a manner that impairs the expression of transcortical reflexes. Our data do not support the idea that reduced transcallosal inhibition results in a simple facilitation of motor circuits in the non-lesioned motor hemisphere, rather they suggest a more complex interaction that can even disrupt reflex function. Similar bilateral interactions could take place within other brain regions such as the cerebellum and basal ganglia, though the evidence for these areas mediating bilateral interactions is less compelling (Carson, 2005).

Bilateral deficits may also be influenced by changes within the spinal cord resulting from stroke. Heightened excitability of short latency reflexes (Powers et al., 1989) and abnormal reflex coordination (Trumbower et al., 2010) have each been observed in the contralesional upper limb. It has been suggested that these abnormalities reflect an increase in spinal excitability resulting from a low-level depolarizing drive to the motoneuron pool (Mottram et al., 2009). Our data demonstrate that short latency stretch re-
flexes also have a tendency to be enhanced in the non-paretic arm of stroke survivors. In sum, these changes in the regulation of reflex pathways suggest that the properties of the spinal cord may be altered bilaterally following stroke. Thus, even if the correct descending motor commands were sent to either limb, they may not be processed by the spinal cord in a manner which properly accounts for the mechanical properties of the environment.

4.3. Functional implications

For stroke survivors, bilateral deficits of reflex and voluntary control may lead to an impaired ability to perform activities of daily living. Previous studies on the control of movement in the non-paretic limb have provided evidence of impairments in dexterity (Nowak et al., 2007; Sunderland et al., 1999) and online movement adjustments (Haaland et al., 2004; Schaefer et al., 2012; Winstein et al., 2004). Deficits have also been observed during performance of more functional tasks that mimic activities of daily living such as the Jebsen-Taylor Hand Function Test (Wetter et al., 2005). If stroke survivors rely more heavily on the non-paretic arm for reaching and grasping, then these results suggest that clinical interventions need to address dysfunction in movement control in the non-paretic limb. The disruption in the LLR could manifest as an inability to make rapid corrective adjustments to the position of a walking aid such as a cane when walking over uneven terrain. This might also be revealed during the control of tools that require active stabilization of off-axis movement such as a screw driver. Further work is necessary to determine if the observed impairment in reflex modulation is associated with functional deficits in the control of upper limb posture.

In summary, while our results demonstrate bilateral impairment of environment-dependent, long-latency stretch reflex modulation following monohemispheric stroke, the neurophysiological mechanism underlying impairments in the non-paretic arm are not yet clear. The potential negative effects of reflex invariance on the maintenance of postural stability are worthy of further investigation given the importance of this issue for stroke survivors.

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